

Section 1A



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Yoshinobu SUGATA  
Serial No. : 09/938,273  
File Date : August 23, 2001  
Title : METHOD FOR MANUFACTURING A RECORDING MEDIUM  
Art Unit : 1755 Examiner:  
Docket No. : M1971-95

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CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : Nov. 20, 2001  
By : Margaret L. Goldstein  
Signature : A handwritten signature in black ink that reads "Margaret L. Goldstein".

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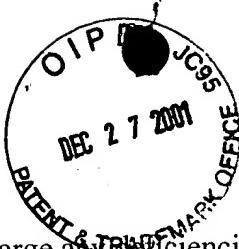
Hon. Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated September 26, 2001, enclosed herewith please find the following:

- \* Declaration and Power of Attorney;
- \* Copy of Notice to File Missing Parts of Nonprovisional Application;
- \* Check for \$870 to cover the large entity filing fee (\$740) and late filed Declaration surcharge (\$130).



Please charge any deficiencies or credit any overpayments to our Deposit Account No.

13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Lyman H. Smith".

Lyman H. Smith  
Reg. No. 44,342  
Agent for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
Mount Vernon, New York 10550  
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JFB



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,273	08/23/2001	Yoshinobu Sugata	M1971-95

**CONFIRMATION NO. 1193**  
**FORMALITIES LETTER**



\*OC00000006794891\*

MORRISON LAW FIRM  
145 North Fifth Avenue  
Mt. Vernon, NY 10550

Date Mailed: 09/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2001 HTECKLU1 00000025 09938273

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02 FC:105740.00 0P  
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